



## BROAD-BASED BLACK ECONOMIC EMPOWERMENT CERTIFICATE OF COMPLIANCE

This serves to certify that:

|                            |   |
|----------------------------|---|
| <b>Enterprise Name</b>     | <b>GRAND PARADE INVESTMENTS LIMITED<br/>INCLUDING SUBSIDIARIES LISTED IN ANNEXURE A</b> |
| <b>Physical Address</b>    | <b>10<sup>TH</sup> FLOOR, 33 ON HEERENGRACHT<br/>FORESHORE<br/>CAPE TOWN<br/>8001</b>   |
| <b>Registration Number</b> | <b>1997/003548/06</b>   |
| <b>VAT number</b>          | <b>4920280031</b>   |

Based on information provided to 4<sup>th</sup> Dimension Training Consultants and is an independent opinion based on verification, validation and audit analysis performed.

|   |                  |
|---|------------------|
| <b>B-BBEE Status Level</b>                                      | <b>LEVEL 4</b>   |
| <b>B-BBEE Procurement Recognition level</b>                     | <b>100%</b>      |
| <b>Empowering Supplier</b>                                      | <b>YES</b>       |
| <b>Discounting Factor</b>                                       | <b>NO</b>        |
| <b>Financial Period</b>   | <b>JUNE 2021</b> |
| <b>Codes Applied</b>  | <b>42496</b>     |
| <b>Category</b>   | <b>GENERIC</b>   |
| <b>Y.E.S. Participant – Yes/ No</b>                             | <b>NO</b>        |
| <b>Achieve Y.E.S. Target and 2.5% Absorption – Yes/ No</b>      | <b>NO</b>        |
| <b>Achieve 1.5 x Y.E.S. Target and 5% Absorption – Yes/ No</b>  | <b>NO</b>        |
| <b>Achieve Double Y.E.S. Target and 5% Absorption – Yes/ No</b> | <b>NO</b>        |

  
 M. C. Haffejee  
 4<sup>th</sup> Dimension Consultants  
 Durban

Date issued: 29 September 2021  
Expiry date: 28 September 2022



**Certificate Number: 2021/09/10**

This certificate is valid for a period of twelve months  
This certificate is issued without any amendments or alterations



The following is the applicable scorecard

|                                      | Element Weighting | Score |
|--------------------------------------|-------------------|-------|
| Ownership:                           | 25                | 25.00 |
| Management Control:                  | 19                | 11.11 |
| Skills Development:                  | 20                | 13.19 |
| Enterprise and Supplier Development: | 44                | 33.85 |
| Socio – Economic Development         | 5                 | 5.00  |
|                                      | 113               | 88.15 |

|  |                          |
|--|--------------------------|
| <b>Black Ownership</b>                           | <b>72.17%</b>            |
| <b>Black Female Ownership</b>                    | <b>24.08%</b>            |
| <b>Black Designated Group Owned</b>              | <b>3.78% Black Youth</b> |
| <b>Black Disabled</b>                            | <b>0%</b>                |
| <b>Black Unemployed</b>                          | <b>0%</b>                |
| <b>Black People Living in Rural Areas</b>        | <b>0%</b>                |
| <b>Black Military Veterans</b>                   | <b>0%</b>                |
| <b>Modified Flow Through Principle – Yes/ No</b> | <b>NO</b>                |
| <b>Exclusion Principle Applied – Yes/ No</b>     | <b>NO</b>                |

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**BVA093**

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# Broad-Based Black Economic Empowerment Commission

## Compliance Report by Sphere of Government / Public Entities / Organs of State

(in terms of Section 13G (1) of the Act)

Case Number

FORM: BBBEE 1

### SECTION A: DETAILS OF ENTITY

|  |  |
|--|--|
| Name of Entity / Organisation          | GRAND PARADE INVESTMENTS                     |
| Registration Number                    | 1997/003648/06                               |
| Physical Address                       | 33-ON-HEERENGRACHT<br>FORESHORE, CAPE TOWN   |
| Telephone Number                       | 091 401 7701                                 |
| Email Address                          | mt@grandparade.co.za                         |
| Indicate Type of Entity / Organisation | LIMITED                                      |
| Industry / Sector                      | RETAIL/SERVICES                              |
| Relevant Code of Good Practice         | GENERIC                                      |
| Name of Verification Agency            | 4TH DIMENSION TRAINING CONSULTANTS (PTY) LTD |
| Name of Technical Signatory            | MR. M. C. HAFEEJEE                           |

### SECTION B: INFORMATION AS VERIFIED BY THE BROAD-BASED BLACK ECONOMIC EMPOWERMENT VERIFICATION PROFESSIONAL AS PER SCORECARDS

| B-BBEE Elements                     | Target Score Including    | Bonus Points | Actual Score Achieved |
|-------------------------------------|---------------------------|--------------|-----------------------|
| Ownership                           | e.g. 25 points            |              | 25.00                 |
| Management Control                  | e.g. 19 points            |              | 11.11                 |
| Skills Development                  | e.g. 20 points            | 5            | 13.19                 |
| Enterprise and Supplier Development | e.g. 40 points            | 1            | 33.33                 |
| Socio-Economic Development          | e.g. 5 points             |              | 5                     |
| Total Score                         | e.g. 109 points           | 6            | 88.15                 |
| Priority Elements Achieved          | YES / NO and specify them | YES          |                       |
| Empowering Supplier Status          | YES / NO and specify them | YES          |                       |
| Final B-BBEE Status Level           | LEVEL 4                   |              |                       |

\*Indicate how each element contributes to the outcome of the scorecard

**SECTION C: FINANCIAL REPORT****1. BASIC ACCOUNTING DETAILS:**

a. Accounting Officer's Name:

JAYSON OCTOBER

b. Address:

10TH FLOOR, 33 ON HEERENGRACHT

FORESHORE

CAPE TOWN

c. Accounting Policy: (*Your accounts are done?*)

Weekly

Monthly

Other (specify)

X

d. Has the attached Financial Statements and Annual Report been approved by the entity?

YES

**2. PLEASE ATTACH THE FOLLOWING:**

a. Copy of Annual Financial Statement including Balance Sheet and Income and Expenditure Report.

ATTACHED


i) Annual Report

ATTACHED

b. Entity Annual Turnover:

R 1 675 828.000

c. Sign-off and Date

|  |   |
|--|---|
| <br>_____<br><b>Signature</b> | 29 SEPTEMBER 2021<br>_____<br><b>Date</b> |
|--|---|

## Compliance Reporting Matrix (Annexure)

### SCORECARD ELEMENTS

#### 1. OWNERSHIP AND MANAGEMENT CONTROL

Please indicate % of black shareholding and number of directors/managers by providing relevant information below:

| Categories            | %/<br>Number<br>for each<br>category | Race<br>classification<br>(indicate nr in<br>terms of A, C<br>& I) | Gender<br>(indicate<br>nr in<br>terms of<br>F & M) | Age (provide<br>nr in<br>chronological<br>order) | Location<br>(indicate nr<br>in each<br>Province) | Disability<br>(indicate nr in<br>terms of F & M) |
|-----------------------|--------------------------------------|--|--|--|--|--|
| Black Ownership       | 20.17%                               |  | M - 48.09%<br>F - 24.08%                           |  |  |  |
| Non-Exec<br>Directors | 50%                                  |  | M - 5<br>F - 1                                     |  |  |  |
| Exec Directors        | 50.01%                               |  | M - 3<br>F - 0                                     |  |  |  |
| Senior<br>Management  | M - 31.27%<br>F - 14.29%             | C - 8<br>A - 10<br>I - 4   | M - 9<br>F - 3                                     |  |  |  |
| Middle<br>Management  | T - 80.71%<br>F - 21.45              | C - 10<br>A - 10<br>I - 22   | M - 17<br>F - 13                                   |  |  |  |
| Junior<br>Management  | T - 74.55%<br>F - 39.56%             | C - 81<br>A - 103<br>I - 56  | M - 103<br>F - 82                                  |  |  |  |
| Dividends declared    |                                      |  |  |  |  |  |

#### 2. SKILLS DEVELOPMENT

Provide number of black persons trained by providing relevant information as follows:

| Categories  | Number<br>for each<br>category | Race<br>classification<br>(indicate nr<br>in terms of<br>A, C & I) | Gender<br>(indicate<br>nr in<br>terms of<br>F & M) | Age (provide<br>nr in<br>chronological<br>order) | Location<br>(indicate<br>nr in<br>each<br>Province) | Disability<br>(indicate<br>nr in<br>terms of<br>F & M) | Value<br>thereof |
|---|--------------------------------|--|--|--|---|--|------------------|
| Black employees   | 172                            |  |  |  |   |  | R 6 536 000      |
| Black People<br>on internships,<br>apprenticeship,<br>learnership | 363                            |  |  |  |   |  | R 4 304 665      |
| Unemployed<br>black people  | 191                            |  |  |  |   |  | R 7 783 665      |
| Black people<br>absorbed  | 191                            |  |  |  |   |  |                  |

### 3. ENTERPRISE AND SUPPLIER DEVELOPMENT

Number of enterprise and supplier development beneficiaries and value thereof by providing relevant information below:

| Number of enterprise development beneficiaries (EMEs) | % of Black ownership | Number of enterprise development beneficiaries (QSEs) | % of Black ownership | Number of supplier development beneficiaries (EMEs) | % of Black ownership | Number of supplier development beneficiaries (QSEs) | % of Black ownership | Value thereof (enterprise development) | Value thereof (supplier development) |
|---|----------------------|---|----------------------|---|----------------------|---|----------------------|--|--------------------------------------|
| 1   | 100%                 | 0   | 0                    | 2   | 100%                 |   |                      | 100 000                                | 418 619                              |

### 4. SOCIO-ECONOMIC DEVELOPMENT

Number of black participants, race classification, gender, geographical indication and value thereof by providing relevant information below:

| Number of participants | Race classification (indicate nr in terms of A, C & I) | Gender (indicate nr in terms of F & M) | Geographical indication (indicate nr in each Province) |      |
|------------------------|--|--|--|------|
|                        |  |  | GP   |      |
|                        |  |  | MP   |      |
|                        |  |  | FS   |      |
|                        |  |  | LP   |      |
| 1                      |  |  | WC   | 100% |
|                        |  |  | EC   |      |
|                        |  |  | NC   |      |
|                        |  |  | KZN  |      |
|                        |  |  | NW   |      |
| Value thereof          | 2120 480.  |  |  |      |